

Nonlinear Optics

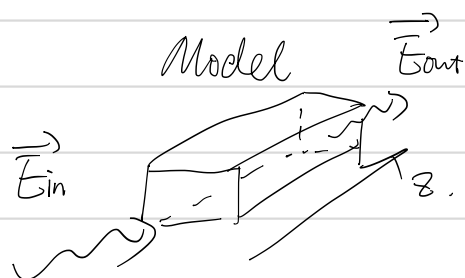
Part I

1. Second harmonic generation
2. Phase matching condition/method.
3. Sum/Difference frequency generation
4. Parametric oscillation
5. Electro-optics effect (EO-modulator)
6. Nonlinear susceptibility

Part II

1. Four-wave mixing
2. Kerr nonlinearity (ultrafast laser)
3. Raman Scattering
4. Brillouin Scattering

Review of General equation of nonlinear optics



Assume we know $\vec{E}(z=0) = \vec{E}_{in}$;
calculate $\vec{E}(z=L) = \vec{E}_{out}$.

First, in general, the input light could have multiple frequency components.

$$\vec{E}(z=0) = \sum_j \vec{e}_j \bar{E}_j(z=0) e^{-i\omega_j t + ik_j z} + c.c.$$

Notice: E_j is not always real. In general, it is a complex quantity when more than one frequency components exist.

When you can express all E_j in real quantity, we say it's mode-locked.

Will talk about this later.

Recall our nonlinear equation of Maxwell equation:

$$\nabla^2 \vec{E} - \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = \mu_0 \frac{\partial^2 \vec{P}}{\partial t^2}$$

$$\vec{P} = \vec{P}_{LW} + \vec{P}_{NL} = \epsilon_0 \chi \vec{E} + \vec{P}_{NL}$$

$$\Rightarrow \nabla^2 \vec{E} - \frac{\epsilon_r}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = \mu_0 \frac{\partial^2 \vec{P}_{NL}}{\partial t^2} ; \quad \epsilon_r = n^2$$

The left-hand side is "linear".

There's no "interaction" between different frequency components.

Because differential and sum commutes, You can change their order.

$$\left(\sum_j \frac{\partial}{\partial t} a_j = \frac{\partial}{\partial t} \sum_j a_j \right)$$

So on the left-hand side, we have:

$$\sum_j \left(\nabla^2 \vec{E}_j e^{-i\omega_j t + ik_j z} - \frac{\epsilon_r}{c^2} \frac{\partial^2}{\partial t^2} \vec{E}_j e^{-i\omega_j t + ik_j z} \right) = \text{LHS.}$$

Previously, we have already shown that:

$$\begin{aligned} \vec{e}_j \nabla^2 \vec{E}_j(z) e^{-i\omega_j t + ik_j z} &= \vec{e}_j \frac{\partial^2}{\partial z^2} \left\{ \vec{E}_j(z) e^{-i\omega_j t + ik_j z} \right\} \\ &= \vec{e}_j \left\{ \frac{\partial^2 \vec{E}_j(z)}{\partial z^2} + 2ik_j \frac{\partial \vec{E}_j(z)}{\partial z} - k_j^2 \vec{E}_j(z) \right\} e^{-i\omega_j t + ik_j z}. \end{aligned}$$

Slow varying amplitude approximation:

$$\frac{\partial^2}{\partial z^2} \vec{E}_j(z) \ll 2ik_j \frac{\partial}{\partial z} \vec{E}_j(z).$$

$$\text{LHS: } \sum_j \vec{e}_j \left(2ik_j \frac{\partial \vec{E}_j(z)}{\partial z} - k_j^2 \vec{E}_j(z) + \frac{\epsilon_r \omega_j^2}{c^2} \vec{E}_j(z) \right) e^{-i\omega_j t + ik_j z} + \text{c.c.}$$

Use the fact that $k_j = \frac{2\pi n_j}{\lambda_j} = \frac{n_j \omega_j}{c}$

$$-k_j^2 + \frac{\epsilon_r \omega_j^2}{c^2} = -\frac{n_j^2 \omega_j^2}{c^2} + \frac{n_j^2 \omega_j^2}{c^2} = 0.$$

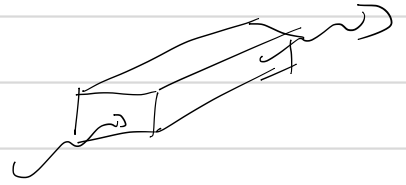
$$\begin{aligned} \text{LHS:} &= \sum_j \vec{e}_j \cdot 2ik_j \frac{\partial E_j(z)}{\partial z} e^{-i\omega_j t + ik_j z} + \text{c.c.} \\ &= \mu_0 \frac{\partial^2 \vec{P}_{NL}}{\partial t^2} \end{aligned}$$

$$\text{And } \vec{P}_{NL} = \epsilon_0 \vec{\chi}^{(2)} \vec{E} \vec{E} + \epsilon_0 \vec{\chi}^{(3)} \vec{E} \vec{E} \vec{E} + \dots$$

This is the equation you would use for the rest of the class.

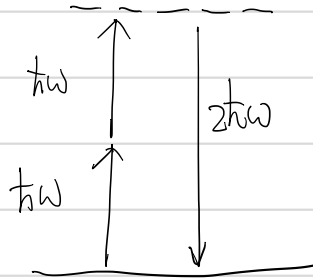
1. Second Harmonic generation

For second harmonic generation, we have:



$$P_{NL} = \epsilon_0 \chi^{(2)} \vec{E} \vec{E}$$

Correspond to physics process:



We will later calculate $\chi^{(2)}$.

From previous chapter, we know we can expand \vec{E} into

$$\vec{E} = \vec{E}_1 e^{-i\omega t + ik_1 z} + \vec{E}_2 e^{-2i\omega t + ik_2 z} + c.c.$$

Question we want to answer:

If the input power is P_0 , what is the output second-harmonic power? (Assume we know all parameters)

Left-hand side of the general nonlinear equation is clear:

$$\text{LHS: } \vec{e}_1 z i k_1 \frac{\partial \vec{E}_1(z)}{\partial z} e^{-i\omega t + ik_1 z} + \vec{e}_2 z i k_2 \frac{\partial \vec{E}_2(z)}{\partial z} e^{-2i\omega t + ik_2 z} + c.c.$$

$$\text{Right-hand side: } = \mu_0 \frac{\partial^2 P_{NL}}{\partial t^2} = \mu_0 \epsilon_0 \chi^{(2)} \frac{\partial^2}{\partial t^2} \vec{E} \vec{E}$$

For now, for simplicity, we assume $\vec{E}_1 = \vec{E}_2$ (not true in most cases)

We can simplify $\chi^{(2)} \cdot \vec{E} \vec{E}$ to $\chi^{(2)} (\vec{E})^2 \vec{e}$

$$\vec{E}^2 = (\vec{E}_1 + \vec{E}_2 + \vec{E}_1^* + \vec{E}_2^*) (\vec{E}_1 + \vec{E}_2 + \vec{E}_1^* + \vec{E}_2^*)$$

In nonlinear calculation, must include c.c. all the time.

Why? $(a+a^*)^2 = a^2 + a^{*2} + 2aa^* \neq a^2 + c.c.$

$$= (\vec{E}_1^2 + \vec{E}_2^2 + 2\vec{E}_1\vec{E}_2 + c.c.) \\ + 2|\vec{E}_1|^2 + 2|\vec{E}_2|^2 \\ + 2\vec{E}_1\vec{E}_2^* + 2\vec{E}_1^*\vec{E}_2$$

Notice $|\vec{E}_i|^2$ is not time dependent (doesn't have $e^{-i\omega t}$)
so vanish after $\frac{\partial^2}{\partial t^2} P_{NL}$

$$RHS: = \epsilon_0 \mu_0 \frac{\partial^3}{\partial t^3} \left(\vec{E}_1^2 e^{-2i\omega t + 2ik_1 z} + \vec{E}_2^2 e^{-4i\omega t + 2ik_2 z} + 2\vec{E}_1\vec{E}_2 e^{-3i\omega t + i(k_1+k_2)z} \right. \\ \left. + 2\vec{E}_1\vec{E}_2^* e^{+i\omega t + i(k_1-k_2)z} + c.c. \right)$$

We will use this equation later.

$$RHS: = -\epsilon_0 \mu_0 \omega^2 \left(4\vec{E}_1^2 e^{-2i\omega t + 2ik_1 z} + 16\vec{E}_2^2 e^{-4i\omega t + 2ik_2 z} + 18\vec{E}_1\vec{E}_2 e^{-3i\omega t + i(k_1+k_2)z} \right. \\ \left. + 2\vec{E}_1\vec{E}_2^* e^{+i\omega t + i(k_1-k_2)z} + c.c. \right)$$

Usually in second-harmonic generation, we can assume:

$$E_1(z) \gg E_2(z). \quad : \text{ in low-conversion efficiency regime}$$

A check to see if this equation make sense:

When left-hand side equals right-hand side, we have:

$$2ik_1 \frac{\partial \bar{E}_1(z)}{\partial z} e^{-i\omega t + ik_1 z} = -2\epsilon_0 \mu_0 \omega^2 \chi^{(2)} E_1^* \bar{E}_2 e^{-i\omega t + i(k_2 - k_1)z}$$

$$2ik_2 \frac{\partial \bar{E}_2(z)}{\partial z} e^{-2i\omega t + 2ik_2 z} = -4\epsilon_0 \mu_0 \omega^2 \chi^{(2)} E_1^2 e^{-2i\omega t + 2ik_2 z}$$

How to check equations?

Energy conservation: we are converting energy from E_1 to E_2
As we know $P = \frac{1}{2} n c \epsilon_0 A \cdot |E|^2$.

$$\text{So: } \frac{dP_1}{dz} = -\frac{dP_2}{dz} \quad \text{such that: } \frac{d}{dz} (P_1 + P_2) = 0.$$

Let's work on the simplest case: $n_1 = n_2$ (refraction index is the same, normally this is not true)

then $k_2 = 2k_1$ (wavelength); let $k_1 = k_0 = \frac{n \cdot \omega}{c}$

$$\text{So: } \frac{\partial \bar{E}_1(z)}{\partial z} = \frac{i \epsilon_0 \mu_0 \omega^2 \chi^{(2)}}{k_0} E_1^* \bar{E}_2$$

$$\frac{\partial \bar{E}_2(z)}{\partial z} = \frac{i \epsilon_0 \mu_0 \omega^2 \chi^{(2)}}{k_0} E_1^2$$

$$\frac{\partial \bar{E}_1}{\partial z} = ig \bar{E}_1^* E_2 \quad (1)$$

$$\frac{\partial \bar{E}_2}{\partial z} = ig \bar{E}_1^2 \quad (2)$$

Construct $\frac{\partial |E_1|^2}{\partial z}$

$$\{ \bar{E}_1^* \cdot \text{eq. (1)} + E_1 \cdot \text{eq. (1)}^* \}$$

$$= \bar{E}_1^* \frac{\partial \bar{E}_1}{\partial z} + E_1 \frac{\partial \bar{E}_1^*}{\partial z} = \frac{\partial}{\partial z} (\bar{E}_1 \cdot \bar{E}_1^*) = \frac{\partial}{\partial z} |E_1|^2$$

$$= ig \bar{E}_1^* E_2 - ig \bar{E}_1^2 E_2^*$$

Same way to construct $\frac{\partial |E_2|^2}{\partial z}$

$$E_2^* \cdot \text{eq. (2)} + E_2 \cdot \text{eq. (2)}^*$$

$$= \frac{\partial}{\partial z} |E_2|^2 = ig \bar{E}_1^2 E_2^* - ig \bar{E}_1^* E_2$$

$$\therefore \frac{\partial |E_1|^2}{\partial z} = ig \bar{E}_1^* E_2 - ig \bar{E}_1^2 E_2^* = - \frac{\partial |E_2|^2}{\partial z}$$

Conserve energy.

(possible problem in final exam: show energy conservation when $n_1 \neq n_2$)

Usually in second-harmonic generation, we can assume:

$E_1(z) \gg E_2(z)$. : in low-conversion efficiency regime
So drop all $E_2(z)$ term.

$$\text{RHS:} = -4\mu_0\epsilon_0\omega^2\chi^{(2)}E_1^2 e^{-i\omega t + 2ik_1z} + \text{c.c.}$$

$$\text{LHS:} = 2ik_1 \frac{\partial E_1(z)}{\partial z} e^{-i\omega t + 2ik_1z} + 2ik_2 \frac{\partial E_2(z)}{\partial z} e^{-2i\omega t + ik_2z} + \text{c.c.}$$

What this means?

$$\frac{\partial E_1(z)}{\partial z} = 0. \quad \text{Non-depletion regime, ; } E_1(z) = E_1(0)$$

Possible in final exam: solve depletion regime.

In non-depletion regime, we have:

$$2ik_2 \frac{\partial E_2(z)}{\partial z} e^{-2i\omega t + ik_2z} = \chi^{(2)} 4\mu_0\epsilon_0\omega^2 E_1^2 e^{-2i\omega t + 2ik_1z}$$

$$\Rightarrow \frac{\partial E_2(z)}{\partial z} = \frac{2i\mu_0\epsilon_0\omega^2\chi^{(2)}E_1^2(z=0)}{k_2} e^{i(2k_1-k_2)z} ; \int e^{\alpha z} dz = \frac{e^{\alpha z}}{\alpha}$$

$$\begin{aligned} \text{Solution: } E_2(z) &= \frac{2i\mu_0\epsilon_0\omega^2\chi^{(2)}E_1^2(0)}{k_2} \int_0^L e^{i(2k_1-k_2)z} dz \\ &= \frac{2i\mu_0\epsilon_0\omega^2\chi^{(2)}E_1^2(0)}{k_2} \frac{(e^{i(2k_1-k_2)L} - 1)}{i(2k_1-k_2)} \\ &= \frac{2\mu_0\epsilon_0\omega^2\chi^{(2)}E_1^2(0)}{k_2(2k_1-k_2)} (e^{i(2k_1-k_2)L} - 1) \end{aligned}$$

This is a sinc function.

First of all, $E_2(z)$ is periodic with L .

The periodicity is $(2k_1 - k_2)L = 2\pi$.

So longer waveguide doesn't mean higher output.

Second: apparently, if $2k_1 - k_2$ is infinitely small, there's an infinite long L to allow

$$\bar{E}_2 \rightarrow \infty.$$

So it's possible to make the conversion from $\omega \rightarrow 2\omega$ very efficient.

Let's see what we need to do:

first, simplify the result by using $k_j = \frac{n_j \omega_j}{c}$; and $\mu_0 \epsilon_0 = \frac{1}{c^2}$

$$\begin{aligned} E_2(z) &= \frac{2\omega^2}{c^2} \frac{\chi^{(2)} E_1^2 (e^{i(2k_1 - k_2)L} - 1)}{n_2 2\omega \left(\frac{2n_1 \omega}{c} - \frac{2n_2 \omega}{c} \right)} \\ &= \frac{\chi^{(2)} E_1^2 (e^{i(2k_1 - k_2)L} - 1)}{2(n_1 - n_2) \cdot n_2} \end{aligned}$$

Check dimension: $P = \epsilon_0 \chi \vec{E} + \epsilon_0 \chi^{(2)} \vec{E}^2$
 $\therefore \chi^{(2)} \vec{E}^2 \sim \chi \vec{E} \sim \vec{E}$. Dimension is correct.

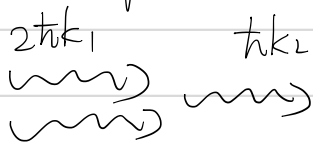
$$E_2(z) \Big|_{z=L} = \frac{\chi_2 E_1^2(z=0)}{2n_2 \cdot \Delta n} \left(e^{i(2k_1 - k_2)L} - 1 \right).$$

Apparently, small Δn is always good.

This is called phase-matching condition.

$$\Delta n = 0, \text{ or } \Delta k = 2k_1 - k_2 = 0$$

It corresponds to momentum conservation of photon: $\hbar k$.



We go one step further. Assume $\Delta n \neq 0$, but we have

$$\text{Max} \left| e^{i(2k_1 - k_2)L} - 1 \right| = 2 \quad ; \quad (2k_1 - k_2)L = (2N+1)\pi.$$

$$E_2(L) = \frac{\chi_2 E_1^2}{n_2 \cdot \Delta n}$$

But we usually measure power instead of E .

$$P = \frac{1}{2} n \epsilon_0 c \cdot A \cdot |E|^2 \quad ; \quad A \text{ is mode area. Cross-section of light beam.}$$

$$P_2 = \frac{1}{2} n_2 \epsilon_0 c \cdot A \cdot \frac{\chi_2^2 \cdot |E_1|^4}{n_2^2 \cdot \Delta n^2}$$

$$P_2 = \frac{1}{2} n_2 \epsilon_0 c \cdot A \cdot \frac{\chi_2^2 \cdot |\bar{E}|^4}{n_2^2 \cdot \Delta n^2}$$

$$P_1 = \frac{1}{2} n_1 \epsilon_0 c A \cdot |\bar{E}|^2$$

$$\therefore |\bar{E}|^4 = \frac{4 P_1^2}{n_1^2 \epsilon_0^2 c^2 A^2}$$

$$\begin{aligned} \Rightarrow P_2 &= \frac{1}{2} \frac{\epsilon_0 c A \chi_2^2}{n_2 \cdot \Delta n^2} \cdot \frac{4 P_1^2}{n_1^2 \epsilon_0^2 c^2 A^2} \\ &= \frac{2 \chi_2^2 P_1^2}{n_2 n_1^2 \Delta n^2 \epsilon_0 c A} \end{aligned}$$

So to increase output: 1. reduce Δn (phase-matching condition)

2. Pick large χ_2 material.

3. $P_2 \propto P_1^2$; so increase input by 2 will \nearrow output by 4.

4. Reduce mode area: A of free space $>$ A of fiber
 $>$ A of waveguide

free-space: often mm^2 : 10^{-6}m^2
 fiber: $10 \mu\text{m}^2$: 10^{-10}m^2
 waveguide: $1 \mu\text{m}^2$: 10^{-12}m^2 .

Huge difference.